



Attorney's Docket No.: 09857-009001

6p #2164#

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Richard G. Ketchum, et al.
Serial No. : 09/208,942
Filed : December 10, 1998
Title : DUAL QUOTE MARKET SYSTEM

Art Unit : 2164
Examiner : Nguyen, N.

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Technology Center 2100

Commissioner for Patents
Washington, D.C. 20231

#5/a
S. Collins
2/13/02

RESPONSE

In response to the action mailed September 25, 2001, please amend the application as follows:

In the claims:

Please amend claims 1, 2, 4-14 and 16-20, as follows:

1. (Amended) A method for quoting securities in a market maker quotation system, executed over a networked computer system, the method comprising:
posting for display in the market, at least one quote reflecting at least one of a bid and offer price for a security to reflect a proprietary position of a market maker type of participant;
and
posting for display in the market, using a separate quote reflecting at least one of a bid and/or offer price for the security to reflect a customer order or interest.

2. (Amended) The method of claim 1 wherein posting for the proprietary account comprises:
entering quotes for bids and offers into a quote system; and
sending the quotes to a server that disseminates the bid and offer quotes from the market maker, along with quotes for the same security from other market makers.

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I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

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